

Total number of printed pages: 2

2016/XII/CM

2016
CAPITAL MARKETS

Marks: 70

Time: 3 hours

General instructions:

- i) Approximately 15 minutes is allotted to read the question paper and revise the answers.
- ii) The question paper consists of 24 questions. All questions are compulsory.
- iii) Marks are indicated against each question.
- iv) Internal choice has been provided in some questions.

N.B: Check that all pages of the question paper is complete as indicated on the top left side.

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|---|-------|
| 1. Define market capitalization ratio. | 1 |
| 2. What does volatility index measure? | 1 |
| 3. Define trigger price. | 1 |
| 4. What is a contract note? | 1 |
| 5. State the purpose of investor protection fund. | 1 |
| 6. What is meant by security sigma? | 1 |
| 7. What is insider trading? | 1 |
| 8. Define net profit ratio. | 1 |
| 9. Write any two objectives of Security Exchange Board of India (SEBI). | 2 |
| 10. Write any two benefits of the trading members in the NSE. | 2 |
| 11. State any two conditions on which a company is eligible to be admitted as a trading member. | 2 |
| 12. Explain any two participants in the auction market. | 2 |
| 13. What is meant by EPS (Earning Per Share)? How is it calculated? | 1+1=2 |
| 14. Explain the types of market segment of the exchange. | 4 |
| 15. Explain any four consequences in case of suspension of a trading member. | 4 |
| 16. State the advantages of the screen based trading system. | 4 |

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| 17. | Write any four features of order status. | 4 |
| 18. | State any four reasons why a member is penalized for fund shortage. | 4 |
| 19. | Describe the kinds of risk in a settlement system. | 4 |
| 20. | Explain the various measures to calculate return on shareholders' equity. | 4 |
| 21. | a. Explain the admission procedures for new membership at National Stock Exchange. | |
| | Or | 6 |
| | b. State the various code of advertisement prescribed by the exchange. | |
| 22. | a. Explain the different stages of a trading day. | |
| | Or | 6 |
| | b. Explain any six reports and files downloaded to the members by the exchange. | |
| 23. | a. State any six functions of a clearing bank. | |
| | Or | 6 |
| | b. Explain the six delivery reports which are downloaded to the clearing members. | |
| 24. | a. Describe the various rights and obligations of depositories, participants, issuers and beneficial owners. | |
| | Or | 6 |
| | b. State the features of SEBI (intermediaries) Regulation, 2008. | |
